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Our Docket No: 42P11872

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

FinsethExaminer: **Burd, Kevin Michael**Application No.: **09/856,807**Art Group: **2611**Filed: **09/21/2001****For: METHOD AND CIRCUIT FOR
RECOVERING A DIGITAL DATA
SIGNAL AND A CLOCK FROM A
RECEIVED DATA SIGNAL****AMENDMENT**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed 09/01/2006, applicant respectfully requests
the Examiner to enter the following amendments and to consider the following remarks.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that I am causing the above-referenced correspondence to be transmitted via facsimile to the
United States Patent and Trademark Office at (571) 273-8300 on the date indicated below:

November 30, 2006

Date of Transmission

Pat Sullivan

Name of Person Transmitting Correspondence

Pat Sullivan

Signature

11/30/2006

Date

Atty Docket No. 42P11872
Application No. 09/856,807

1

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TELEPHONE: (303) 740-1980

INTELLECTUAL PROPERTY LAW
12400 WILSHIRE BOULEVARD, 7TH FLOOR
LOS ANGELES, CA 90025

FACSIMILE: (303) 740-6962

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FACSIMILE COVER SHEET

Deliver to: Kevin Michael Burd, USPTO

Art Group: 2631

Facsimile No.: (571) 273-8300

Date: November 30, 2006

From: Ashley R. Ott, Reg. No. 55,515

Our Docket No.: 42390P11872

Number of pages 15 including this sheet.

Application No.: 09/856,807

Filing Date: 9/21/2001

Docket Due Date(s): 12/1/2006

Enclosed are the following documents:

<input checked="" type="checkbox"/> Amendment: <u>Response</u> (<u>11</u> pgs)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Appeal Brief (<u> </u> pgs)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Application: _____ (<u> </u> pgs) w/cover & abstract)	<input type="checkbox"/> Petition for: _____
<input type="checkbox"/> Assignment & Cover Sheet (<u> </u> pgs)	<input type="checkbox"/> Request for Continued Examination (RCE)
<input checked="" type="checkbox"/> Certificate of <u>Facsimile</u>	<input type="checkbox"/> Reply Brief (<u> </u> pgs)
<input type="checkbox"/> Continued Prosecution Application (CPA)	<input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)
<input type="checkbox"/> Declaration & POA (<u> </u> pgs)	<input type="checkbox"/> Request to Rescind Previous Nonpublication Request
<input type="checkbox"/> Drawings: <u> </u> sheets, <u> </u> figures	<input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter
<input type="checkbox"/> Extension of Time: _____	<input type="checkbox"/> Response to Written Opinion (<u> </u> pgs)
<input checked="" type="checkbox"/> Fee Transmittal (in duplicate)	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> IDS & PTO/SB/08 (<u> </u> pgs)	<input type="checkbox"/> Transmittal of Publication Fee Due
<input type="checkbox"/> Other _____	<input checked="" type="checkbox"/> Transmittal Letter

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.84)

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Pat Sullivan

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/856,807
		Filing Date	September 21, 2001
		First Named Inventor	Niels Christian Finseth
		Art Unit	2631
		Examiner Name	Kevin Michael Burd
Total Number of Pages in This Submission	15	Attorney Docket Number	42390P11872

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Facsimile Transmittal Sheet</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Ashley R. Ott, Reg. No. 55,515 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Ashley Ott</i>
Date	November 30, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
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Typed or printed name	Pat Sullivan	Date	November 30, 2006
Signature	<i>Pat Sullivan</i>	Date	November 30, 2006

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (ndc) 10/12/2006.
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FEE TRANSMITTAL for FY 2005 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	09/856,807
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Filing Date	September 21, 2001
		First Named Inventor	Niels Christian Finseth
TOTAL AMOUNT OF PAYMENT (\$) 0.00		Examiner Name	Kevin Michael Burd
		Art Unit	2631
		Attorney Docket No.	42390P11872

METHOD OF PAYMENT (check all that apply)

☐ Check
 ☐ Credit card
 ☐ Money Order
 ☒ None
 ☐ Other (please identify): _____

☐ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below
 ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s)
 ☒ Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

1. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
16	20	50.00	\$0.00
3	3	200.00	\$0.00


Multiple Dependent

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	25		Claims in excess of 20
1201	2201	100		Independent claims in excess of 3
1203	2203	180		Multiple Dependent claim, if not paid
1204	2204	395		**Reissue: independent claims over original patent
1205	2205	150		**Reissue claims in excess of 20 and over original patent
SUBTOTAL (1)				(\$) 0.00

***For number previously paid, if greater, For Reissues, see below*

2. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	65		Surcharge - late filing fee or oath	
1052	2052	25		Surcharge - late provisional filing fee or cover sheet	
2053	2053	130		Non-English specification	
1251	2251	60		Extension for reply within first month	
1252	2252	225		Extension for reply within second month	
1253	2253	510		Extension for reply within third month	
1254	2254	785		Extension for reply within fourth month	
1255	2255	1,080		Extension for reply within fifth month	
1401	2401	250		Notice of Appeal	
1402	2402	250		Filing a brief in support of an appeal	
1403	2403	500		Request for oral hearing	
1451	2451	1,510		Petition to institute a public use proceeding	
1480	2480	130		Petitions to the Commissioner	
1807	2807	50		Processing fee under 37 CFR 1.17(q)	
1806	2806	180		Submission of Information Disclosure Stmt	
1809	2809	395		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	395		For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
SUBTOTAL (2)				(\$)	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Ashley R. Ott	Registration No. (Attorney/Agent)	55,515
Signature		Telephone	(303) 740-1980
		Date	11/30/06

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (M) 12/15/2004.
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FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number	09/856,807
Filing Date	September 21, 2001
First Named Inventor	Niels Christian Finseth
Examiner Name	Kevin Michael Burd
Art Unit	2631
Attorney Docket No.	42390P11872

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify): _____
☐ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments
 under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

1. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
16	20*	0	\$0.00
3	3*	0	\$0.00

Multiple Dependent

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	25	50	Claims in excess of 20
1201	2201	100	200	Independent claims in excess of 3
1203	2203	180	360	Multiple Dependent claim, if not paid
1204	2204	395	790	**Reissue independent claims over original patent
1205	2205	150	300	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (1) (\$) 0.00

*or number previously paid, if greater. For Reissues, see below

2. ADDITIONAL FEES


Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1051	2051	65	130	Surcharge - late filing fee or oath
1052	2052	25	50	Surcharge - late provisional filing fee or cover sheet
2053	2053	130	260	Non-English specification
1251	2251	60	120	Extension for reply within first month
1252	2252	60	120	Extension for reply within second month
1253	2253	510	1,020	Extension for reply within third month
1254	2254	795	1,590	Extension for reply within fourth month
1255	2255	1,080	2,160	Extension for reply within fifth month
1401	2401	250	500	Notice of Appeal
1402	2402	250	500	Filing a brief in support of an appeal
1403	2403	500	1,000	Request for oral hearing
1451	2451	1,510	3,020	Petition to institute a public use proceeding
1450	2450	130	260	Petitions to the Commissioner
1807	2807	50	100	Processing fee under 37 CFR 1.17(q)
1808	2808	180	360	Submission of Information Disclosure Stmt
1809	2809	395	790	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	2810	395	790	For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify)

SUBTOTAL (2) (\$)

Fee Paid

SUBMITTED BY

Name (Print/Type)	Ashley R. Ott	Registration No. (Attorney/Agent)	55,515	Telephone	(303) 740-1980
Signature		Date	11/30/06		

Complete (if applicable)

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